

Appendix 1 - Audit Plan Monitoring 2020/21
Progress as at March 2021

| | Service | Plan Days | Status | Fieldwork started | Report issued to Management | Finalised | Opinion: Level of Assurance | High Priority Recs | Medium Priority Recs | Low Priority Recs |
|--|-------------------------|-----------|----------------|-------------------|-----------------------------|-----------|-----------------------------|--------------------|----------------------|-------------------|
| Harlow and Gilston Garden Town - c/f from 2019/20 | Chief Executive | 15 | Final report | ■ | ■ | ■ | Substantial | 0 | 3 | 1 |
| Fixed Assets - c/f from 2019/20 | Customer Services | 15 | Final report | ■ | ■ | ■ | Moderate | 0 | 3 | 0 |
| Customer Services - c/f from 2019/20 | Business Services | 12 | Final report | ■ | ■ | ■ | Substantial | 0 | 1 | 2 |
| IT - active directory management | Business Services | 10 | Final report | ■ | ■ | ■ | Moderate | 0 | 11 | 0 |
| IT - storage area network | Business Services | 10 | In progress | ■ | | | | | | |
| IT Disaster recovery | Business Services | 10 | In progress | ■ | | | | | | |
| Governance - Qualis | Chief Executive | 12 | In progress | ■ | | | | | | |
| H&S compliance - council buildings and depot compliance | Housing and Property | 20 | In progress | ■ | | | | | | |
| KFC: Accounts Payable | Business Services | 12 | In progress | ■ | | | | | | |
| KFC: Payroll | Business Services | 12 | In progress | ■ | | | | | | |
| Housing H&S – gas safety | Housing and Property | 15 | In progress | ■ | | | | | | |
| Contract management including exit strategies | Contracts and Technical | 20 | In progress | ■ | | | | | | |
| Business continuity: assist Council wide lessons learnt review | Business Services | 10 | On going | | | | | | | |
| Qualis Commercial | Chief Executive | 15 | To be deferred | | | | | | | |
| KFC: Treasury Management | Business Services | 12 | To be deferred | | | | | | | |
| TOTAL | | | | | | | | 0 | 17 | 3 |

Key
c/f = carried forward
H&S = Health and Safety
KFC = Key Financial Controls
vfm = Value for Money